



# ASHA PHILLIP SECURITIES LIMITED

Level 4, Millennium House, 46/58, Navam Mawatha, Colombo 2, Sri Lanka.  
Tel : 94 11 2429100 Fax : 94 11 2429199 E-mail : apsl@ashaphillip.net Website : www.ashaphillip.net

## Branches

**Matara Branch**  
38, Station Road, Matara.  
Tel: 041 2223940, 2220093 Fax: 041 2223240  
E-mail: apsl@ashaphillip.net

**Embilippitiya Branch**  
No. 62, Sampath Bank Building, Main Street, Embilippitiya.  
Tel: 047 2261950 Fax: 047 2261950  
E-mail: apsl@ashaphillip.net

**Gampaha Branch**  
21, Courts Road, Gampaha.  
Tel: 033 2234888 Fax: 033 2234888  
E-mail: apsl@ashaphillip.net

**Kandy Branch**  
Ceybank House, 88, Dalada Vidiya, Kandy.  
Tel: 081 2204750, Fax: 081 4471929  
E-mail: apslkd@eureka.lk

**Galle Branch**  
No. 6 1/1, 1st Floor, South Ceylon Building,  
Gamini Road, Galle  
Tel: 091 2233558 Fax: 091 2233558  
E-mail: apsl@ashaphillip.net

**Kurunegala Branch**  
No. 6, 1st Floor, Union Assurance Building,  
Rajapathila Mawatha, Kurunegala.  
Tel: 037 2234777, 4691844 Fax: 037 2234777  
E-mail: apsl@ashaphillip.net

**Anuradhapura Branch**  
334/110 E 1, Chamber of Commerce Building,  
Main Street, Anuradhapura.  
Tel: 025 2234705 Fax: 025 2234706  
E-mail: apsl@ashaphillip.net

**Kiribathgoda Branch**  
Udeshi City Shopping Complex, 2nd Floor, No.94,  
Makola Road, Kiribathgoda.  
Tel: 011 2908511 Fax: 011 2908511  
E-mail: apslkb@slnet.lk

**Negombo**  
141, 2<sup>nd</sup> Floor, St. Joseph Street, Negombo.  
Tel: 94 031 2227474 Fax: 94 031 2227474  
Email: apsl@ashaphillip.net

## CLIENT REGISTRATION FORM (FOR INDIVIDUALS)

### PERSONAL DATA

#### PRINCIPAL APPLICANT

#### JOINT APPLICANT(S)

Title : Rev./Mr./Mrs./Ms./Other Please specify.....

Name (Underline Surname) : .....

Tel No : (Home)..... (Office).....

Fax No : (Home)..... (Office).....

E-mail : .....

Residential Address : .....

N.I.C./ Passport/Driving License (supported by an affidavit) : ..... Nationality : .....

(2 photo copies of the NIC / Passport to to be attached)

Date of Issue : .....

Attached copy of utility bill (Electricity / Water bill / Mobile)

### EMPLOYMENT

Occupation/Profession : .....

Official Address : .....

Correspondence Address : .....

### BANK DETAILS

Bank	Branch	Type of Account	Account No.
.....	.....	.....	.....
.....	.....	.....	.....
.....	.....	.....	.....

#### IN CASE OF 2nd JOINT APPLICANT

Name : .....

Res. Address : .....

Occupation : .....

Office Address : .....

NIC/Passport No (2 photo copies of the NIC / Passport to to be attached) : .....

Date of Issue : .....

Nationality : .....

Tel : ..... Fax: .....

### STOCK MARKET EXPERIENCE

### PRESENT BROKER (S) IF ANY

### MAILING INSTRUCTIONS

: Please Post our correspondence/contracts to my office/residence.

: Cheques to be kept at your office/posted to my home/posted to office.

: Follow Verbal Instructions

: Contact Notes  Collect  Post  Do not Print  e-mail

### SPECIMEN SIGNATURES

(1)  (2)  (3)

### NAME & ADDRESS OF PERSON /S

### AUTHORIZES TO GIVE INSTRUCTIONS.

Signature of Agent/Person Introducing: ..... Agent Code.....

#### OFFICE USE ONLY

Application received on : .....

Advisor's Code : ..... Advisor's Signature: .....

See overleaf ↪

ASHA PHILLIP SECURITIES LIMITED  
Level 4, Millennium House,  
46/58, Nawam Mawatha, Colombo 02.  
Tel: 2429100 Fax: 2429199

I / We .....  
bearing NIC No (s) ..... of .....  
..... hereby declare that I/We aware of  
particulars given below.

I / We undertake to operate my/our share trading account with ASHA PHILLIP SECURITIES LTD. (Hereinafter referred to as BROKER) in accordance with the Rules and conditions given in the Colombo Stock Exchange Bought and Sold Notes and in accordance with the conditions of Sale of the Colombo Stock Exchange and other prevailing laws and regulations of Sri Lanka and in particular to the authority hereinafter granted by me/us to the Broker

In the event of my/our failure to settle the amounts due in respect of a share purchase. I/We do hereby irrevocably authorise the Broker to sell such securities involved in the default and if such proceeds are inadequate to cover the shortfall and any loss incurred by the Broker. to sell any other security in my/our portfolio held by the Broker in the Central Depository Systems (Pvt) Ltd, so that the full amount due to the Broker may be settled and any surplus arising on the sale of shares shall accrue to the Broker unless such surplus arise from the sale of other quoted shares deposited by the buyer as collateral with broker in which event the surplus shall be remitted to after settlement day of the relevant sale (s).

The funds to be invested for the purchase of securities through the Securities account to be opened with the CDS will not be funds derived from any money laundering activity or funds generated through financing of terrorist or any other illegal activity.

In the event of a variation of any information given in the CDS Form 1, Addendum to CDS Form 1 (A) this declaration and other information submitted by me/us along with the application to open a CDS Account, I/We undertake to inform the CDS in writing within fourteen (14) days of such variation.

The irrevocable authority granted hereby shall in no way effect or exempt me/us from any liability as stated herein towards the BROKER arising from or consequent upon any such default.

Also I/We do hereby irrevocably agree that in the event of any purchase orders placed with you for the purchase of shares. I/We shall pay approximately 50% of the value of such purchase by a legal tender which amount shall be set off against the total amount due from me/us to you on the due date of settlement in respect of such purchases.

Any delayed payments will be subject to additional interest cost on the consideration and will be debited to my/our account. Interest percentage will be decided by the Broker considering the prevailing interest rates.

(1) .....  
SIGNATURE

(2) .....  
SIGNATURE

(3) .....  
SIGNATURE