

**Note:** To be filled in by the applicant and retained with the member firm in accordance with FIU regulations

# Know Your Customer (KYC) Profile

**For Individuals Only**

This information is sought under the Prevention of Money Laundering Act No:6 of 2005, Financial Transaction Reporting Act No.6 of 2006 and the Rules for the Securities Industry issued by the Financial Intelligence Unit of the Central Bank of Sri Lanka. All the information as applicable in sections A and B below is **mandatory for the Primary Applicant only**.

<b>Section A - Address &amp; Contact Details of the Applicant :</b>															
<p><b>1. Proof of Residency document provided by the applicant:</b>                  (Please submit any one of the following documents and tick ( √ ) against the Document attached.)</p> <table style="width:100%; border: none;"> <tr> <td style="width: 50%; vertical-align: top;"> <input type="checkbox"/> * Telephone Bill  <input type="checkbox"/> * Bank Account Statement /Credit card statement  <input type="checkbox"/> Valid Tenancy Agreement  <input type="checkbox"/> Registered Lease or Sale Agreement of Residency  <input type="checkbox"/> * Letter issued by the superintendent of a plantation estate in respect of Estate workers who have no other documentary proof.  <input type="checkbox"/> * Other notices/letters issued by Government authorities and institutions which will be deemed as acceptable to the CDS.                  (Please specify:) .....             </td> <td style="width: 50%; vertical-align: top;"> <input type="checkbox"/> * Electricity Bill  <input type="checkbox"/> * Mobile Phone Bill  <input type="checkbox"/> * Income Tax Receipt/Assessment Notice  <input type="checkbox"/> * Grama Sewaka Certificate             </td> </tr> </table> <p><i>*These documents should be within three months as on the date of submission of the CDS account opening forms.</i></p>	<input type="checkbox"/> * Telephone Bill <input type="checkbox"/> * Bank Account Statement /Credit card statement <input type="checkbox"/> Valid Tenancy Agreement <input type="checkbox"/> Registered Lease or Sale Agreement of Residency <input type="checkbox"/> * Letter issued by the superintendent of a plantation estate in respect of Estate workers who have no other documentary proof. <input type="checkbox"/> * Other notices/letters issued by Government authorities and institutions which will be deemed as acceptable to the CDS. (Please specify:) .....	<input type="checkbox"/> * Electricity Bill <input type="checkbox"/> * Mobile Phone Bill <input type="checkbox"/> * Income Tax Receipt/Assessment Notice <input type="checkbox"/> * Grama Sewaka Certificate													
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<b>Section B - Other Details</b>															
<p><b>1. Self Employed/ Professionals:</b></p> <ul style="list-style-type: none"> <li>• Nature of business /Profession:</li> <li>• Registered Address:</li> </ul>															
<p><b>2. Expected Value of Investment per annum:</b> Please tick ( √ )</p> <table style="width:100%; border: none;"> <tr> <td style="width: 33%;"><input type="checkbox"/> Less than Rs.100,000</td> <td style="width: 33%;"><input type="checkbox"/> Rs.100,000 to Rs 500,000</td> <td style="width: 33%;"><input type="checkbox"/> Rs.500,000 to Rs. 1,000,000</td> </tr> <tr> <td><input type="checkbox"/> Rs.1,000,000 to Rs.2,000,000</td> <td><input type="checkbox"/> Rs. 2,000,000 to Rs.3,000,000</td> <td><input type="checkbox"/> Rs.3,000,000 to Rs.4,000,000</td> </tr> <tr> <td><input type="checkbox"/> Rs.4,000,000 to Rs.5,000,000</td> <td><input type="checkbox"/> Rs. 5,000,000 to Rs.10,000,000</td> <td><input type="checkbox"/> Over Rs. 10,000,000</td> </tr> </table>	<input type="checkbox"/> Less than Rs.100,000	<input type="checkbox"/> Rs.100,000 to Rs 500,000	<input type="checkbox"/> Rs.500,000 to Rs. 1,000,000	<input type="checkbox"/> Rs.1,000,000 to Rs.2,000,000	<input type="checkbox"/> Rs. 2,000,000 to Rs.3,000,000	<input type="checkbox"/> Rs.3,000,000 to Rs.4,000,000	<input type="checkbox"/> Rs.4,000,000 to Rs.5,000,000	<input type="checkbox"/> Rs. 5,000,000 to Rs.10,000,000	<input type="checkbox"/> Over Rs. 10,000,000						
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<p><b>4. Other Connected Business/Professional activities &amp; business interests</b></p> <p>.....</p> <p>.....</p> <p>.....</p> <p>.....</p>															
<p><b>5. Other Details/Remarks/Notes: (if any)</b></p> <p>.....</p> <p>.....</p> <p>.....</p> <p>.....</p>															

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 Signature of the applicant/Authorised person